

1 Origins of Simulated Decadal Variability in the
2 Southern Ocean Region

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9 Pre-industrial control experiments of 15 climate models are used to exam-
10 ine decadal variability in the surface climate of Southern Hemisphere extra-
11 tropics. We find the climate over the Southern Ocean exhibits large decadal
12 variability in all simulations, underscoring the distinctiveness of this region's
13 internal variability. In every model, decadal variations in surface tempera-
14 ture and sea-ice are closely linked, possibly due to sea-ice albedo feedback.
15 These similarities aside, we find there is two- to three-fold intermodel spread
16 in the magnitude of the decadal variability. We apply linear stochastic the-
17 ory to 'model the models', and find that it almost perfectly captures the mod-
18 els' behavior. This exercise also reveals that most of the intermodel spread
19 in decadal variability can be attributed to differences in climate feedbacks.

1. Introduction

20 While there has been a large-scale decrease in total Arctic sea-ice cover over the past
21 three decades [Cavalieri et al., 1997; Rothrock et al., 1999; Vinnikov et al., 1999; Serreze
22 et al., 2003; Stroeve et al., 2008; Parkinson and Cavalieri, 2008], comparable trends are
23 not evident around Antarctica [Cavalieri et al., 1997; Vaughan et al., 2003; Cavalieri and
24 Parkinson, 2008]. This large-scale stability, however, masks substantial regional trends
25 with opposing signs. For instance, over the past few decades, sea-ice has been advancing
26 in the western Ross Sea, and retreating adjacent to the western Antarctic Peninsula and
27 southern Bellingshausen Sea region [Liu et al., 2003; Cavalieri and Parkinson, 2008]. The
28 origin of these regional trends and associated temperature anomalies, whether internally-
29 generated by the climate system or anthropogenic, is still largely unknown. Determining
30 the cause of the trends is complicated by the fact that the climate system may generate
31 larger decadal variability in the Southern Ocean than other regions. This excess internal
32 variability may result from climate processes unique to the Southern Ocean [e.g., Fig. 1;
33 Manabe and Stouffer, 1996]. To assess whether the observed trends arise at least in part
34 from greenhouse warming, a better understanding of these processes and their role in
35 internal decadal variability is necessary.

36 Unfortunately, observations of sufficient duration to analyze decadal variability are ex-
37 tremely sparse in the Southern Ocean. An alternative approach is to examine decadal
38 variability in models. Here, we examine internal decadal variability over the Southern
39 Ocean in “pre-industrial control experiments” from 15 climate models used in the Fourth
40 Assessment Report (AR4) of the Intergovernmental Panel of Climate Change. As we show

41 in Fig. 1, the simulations all exhibit large decadal variability over the Southern Ocean,
 42 possibly lending credence to the idea that recent trends may be internally-generated.
 43 However, there is also a twofold difference in the magnitude of the variability across mod-
 44 els, greatly complicating efforts to assess origins of recent trends quantitatively. Here we
 45 examine why the models differ so much in their overall levels of decadal variability.

46 There are many possible reasons for the intermodel spread, including differences in
 47 (1) energy levels of atmospheric eddies, which presumably play a central role in forcing
 48 climate variability on all time scales [Hasselmann, 1976], (2) attenuating and amplifying
 49 effects of climate feedbacks on decadal temperature anomalies [North et al., 1981], and
 50 (3) heat exchange associated with the ocean circulation, which sets the climate system's
 51 effective heat capacity [North et al., 1981; Hall and Manabe, 1997]. To understand the
 52 role of these factors, following North et al. [1981], we apply linear stochastic theory to an
 53 energy balance equation.

$$54 \quad C \frac{\partial T'}{\partial t} = -\lambda T' + \eta' \quad (1)$$

55 where T' represents variations in SAT. In the energy balance framework, SAT represents
 56 the thermodynamic response of the coupled atmosphere/ocean mixed layer system. The
 57 first term on the right side of Eq. (1) represents damping processes of T' , with their
 58 effectiveness determined by a linear coefficient, λ . Values of λ are in turn modulated
 59 by climate feedbacks. A prominent climate feedback over the Southern Ocean is sea-ice
 60 albedo feedback. It tends to amplify T' by modulating net incoming solar radiation [e.g.,
 61 Hall, 2004]. Stronger sea-ice albedo feedback thus results in smaller λ . The second term
 62 on the right side represents atmospheric white-noise forcing (AWNF), which encapsulates

63 the climatic impacts of short-term near-surface heat and wind fluctuations associated with
64 atmospheric eddies. These two terms together determine thermal variations of the system,
65 represented by the term on the left side of Eq. (1). C on the left side is an effective heat
66 capacity of the system. Given the two terms on the right side, larger C implies slower
67 response of T' . Since the heat capacity of air is much smaller than water, C is largely
68 determined by the effective depth of the ocean mixed layer, or alternatively, the effective
69 vertical penetration of surface temperature variations in the Southern Ocean.

70 In spite of its simplicity, this framework is remarkably accurate in predicting overall
71 levels of simulated variability over the Southern Ocean. Thus it is a useful “model of the
72 models”, and allows us to ascertain in broad terms what is causing the models to disagree.

2. Data Sets

73 Simulated climate is examined in “pre-industrial control experiments” with 15 AR4
74 models (see Table 1), archived at Lawrence Livermore National Laboratory ([http://www-
75 pcmdi.llnl.gov/](http://www-pcmdi.llnl.gov/)). In these experiments, climate forcings such as greenhouse gas and aerosol
76 concentrations are fixed at pre-industrial levels. Although pre-industrial control simula-
77 tions are available for 23 AR4 models, only the 15 models listed in Table 1 provide long
78 enough time series to calculate stable climate statistics in the Southern Ocean. (The
79 length of time series differs from model to model, and ranges from 330 to 500 years.) The
80 15 models all have somewhat different horizontal resolutions. To ensure we examine vari-
81 ability on similar spatial scales, all data are interpolated onto a common coarse-resolution
82 grid prior to any calculations. We use annual-mean quantities for all the analyses. Decadal
83 variability is defined as all variability with time scales longer than 10 years after the long-

84 term linear trends of data are removed. In this study, the main climate variable of interest
85 is SAT because of its role in Eq. (1). However, we also show some sea-ice concentration
86 variability results both because of the broader interest in this variable and to demonstrate
87 its clear connection to SAT variability.

3. Climate Variability in the Southern Ocean Region

88 Annual-mean SH extratropical SAT exhibits enhanced variability south of 50°S in all
89 simulations (Fig. 1A). The variability tends to peak near 68°S , where the ensemble-mean
90 of zonal-mean standard deviation (SD) of SAT reaches about 1K. This is also the place
91 where the largest interannual variability in annual-mean SIC occurs (Fig. 1B). Decadal
92 variability of SAT and SIC has a similar zonal distribution to that of interannual SAT
93 and SIC variability (Fig. 1C and D). First, there is significantly more variability south of
94 50°S in all simulations and second, the ensemble-mean SDs of decadal SAT and SIC peak
95 near 68°S . Comparison with Figs.1A and B suggests about 40% of SAT and SIC variance
96 is decadal.

97 Despite the fact that Southern Ocean SAT and SIC decadal variability is elevated in
98 all models, the magnitude of the variability varies significantly from model to model. SD
99 of decadal SAT at the peak latitude ranges from 0.3 to 0.9K, and that of decadal SIC
100 ranges from about 2.4% to 6.9%, both corresponding to a threefold intermodel spread.
101 To measure intermodel spread in the variability of the Southern Ocean as a whole, we
102 average SDs of decadal SAT and SIC over the Southern Ocean. As shown in Table 1,
103 regional-mean decadal SAT SD ranges from 0.25 to 0.61K, and regional-mean decadal

104 SIC SD ranges from 2.19 to 4.07%, both corresponding to about a twofold intermodel
 105 spread.

106 As is clear from both Fig. 1 and Table 1, SAT and SIC decadal variations are closely
 107 linked. First, magnitudes of the variability in both quantities peak near 68°S. This latitude
 108 signifies the location of zonal-mean annual-mean sea-ice edge. Second, overall levels of
 109 variability of the two quantities are strongly correlated across models (the correlation
 110 coefficient is 0.73), indicating models with greater decadal variability in SAT tend to have
 111 greater decadal variability in SIC as well. This association is probably largely due to
 112 sea-ice albedo feedback, whose effects on T' are implicit in λ .

4. Factors Controlling Decadal Variability

113 From Eq. (1), we derive a spectrum of T' :

$$114 \quad |\tilde{T}'(\omega)|^2 = \frac{|\tilde{\eta}|^2/C^2}{1 + a^2 - 2a\cos(2\pi\omega)} \quad (2)$$

115 The term on the left side of Eq. (2) represents the power spectral density of SAT, given
 116 in terms of the lag-one year autocorrelation coefficient of T' , a , power spectral density of
 117 AWNF, $|\tilde{\eta}|^2$, effective heat capacity, C , and angular frequency, ω . The term a quantifies
 118 the persistence of T' from year to year, and is related to λ and C through $a = 1 - \Delta t \cdot (\lambda/C)$,
 119 where Δt represents the sampling interval of data, which is 1 year [e.g., Lemke et al., 1980].

120 To validate this framework and use it to shed light on differences in modeled Southern
 121 Ocean variability, first we calculate Southern Ocean regional-mean values of a . As shown
 122 in Table 1, the intermodel range in a is from 0.13 to 0.65, representing a fivefold inter-
 123 model spread. While many models have a very red spectrum in the Southern Ocean, others
 124 exhibit almost no persistence from year to year. Since a is determined by both λ and C ,

125 this may be an indication of large intermodel differences in either climate feedbacks or
 126 the effective heat capacity of the system or both. Then, we calculate the Southern Ocean
 127 regional values of $|\tilde{\eta}|^2/C^2$ by integrating Eq. (2) over all frequencies resolved by the data,

$$128 \int |\tilde{T}'(\omega)|^2 d\omega = |\tilde{\eta}|^2/C^2 \int \frac{1}{1 + a^2 - 2a\cos(2\pi\omega)} d\omega \quad (3)$$

129 The integral on the left-hand side of Eq. (3) represents SAT variance, whose square
 130 roots are shown in Table 1. Given the Southern Ocean regional-mean values of a , the
 131 integral on the right-hand side of Eq. (3) can be evaluated. Then values of $|\tilde{\eta}|/C$ can
 132 be obtained from Eq. (3). As shown in Table 1, the intermodel range in $|\tilde{\eta}|/C$ is from
 133 0.62 to 1.18Kyear^{1/2}, with 2/3 of the models in the 0.8-1.0Kyear^{1/2} range. Though not
 134 negligible, these intermodel differences are much smaller than those in values of a .

135 Once we know values of a and $|\tilde{\eta}|/C$, we can obtain an expression for decadal variance of
 136 T' by integrating Eq. (2) over frequencies lower than 1 cycle per decade. Here we assume
 137 $|\tilde{T}'(\omega)|^2$ is a constant function of frequency for time scales longer than 10 years. This
 138 assumption is valid if the characteristic time scale of the Southern Ocean is much shorter
 139 than 10 years. The characteristic time scale, represented by C/λ , can be calculated with
 140 the expression $a = 1 - \Delta t \cdot (\lambda/C)$ [e.g., Hall and Manabe, 1997]. It ranges from 1.1 to 2.9
 141 years, indeed shorter than 10 years. Therefore our assumption is justified, and we obtain
 142 a simple expression for SD of decadal T' :

$$143 SD \propto \frac{|\tilde{\eta}|/C}{1 - a} \quad (4)$$

144 Larger values of both a and $|\tilde{\eta}|/C$ lead to greater levels of decadal variability. To as-
 145 sess whether Eq. (4) accurately predicts the magnitude of the Southern Ocean decadal
 146 variability, we scatter Southern Ocean regional-mean SD against the ratios of $|\tilde{\eta}|/C$ to

147 $1 - a$. We find that Eq. (4) works almost perfectly (Fig. 2A). This indicates decadal
 148 SAT variability can be indeed modeled as a linearly damped response to white-noise forc-
 149 ing from the atmosphere. The only exception to this is CNRM CM3, where the decadal
 150 variance of T' is much less than that predicted by the stochastic model. This suggests
 151 linear stochastic theory is not adequate to explain the Southern Ocean decadal variability
 152 in this simulation. Preliminary results show that this simulation exhibits a distinctive
 153 oscillation on multi-decadal to centennial time scales in the Southern Ocean. Physical
 154 processes responsible for this oscillation and its relevance to observed Southern Ocean's
 155 climate trends are currently under investigation.

5. Sources of Intermodel Spread

156 Since the magnitude of Southern Ocean decadal variability scales nearly perfectly with
 157 the ratio $|\tilde{\eta}|/C$ to $1 - a$, we next assess relative contributions of $|\tilde{\eta}|/C$ and $1 - a$ to the
 158 intermodel spread of the ratio of $|\tilde{\eta}|/C$ to $1 - a$. To facilitate this, we take the logarithm
 159 of the ratio,

$$160 \quad \log\left(\frac{|\tilde{\eta}|/C}{1-a}\right) = \log(|\tilde{\eta}|/C) - \log(1-a) \quad (5)$$

161 From Eq. (5), we obtain an expression governing intermodel variance of decadal variabil-
 162 ity,

$$163 \quad \sum \left[\left(\log\left(\frac{|\tilde{\eta}|/C}{1-a}\right) \right)' \right]^2 = \sum \left[\left(\log(|\tilde{\eta}|/C) \right)' \right]^2 + \sum \left[\left(\log(1-a) \right)' \right]^2 \quad (6)$$

$$164 \quad - 2 \sum \left(\log(|\tilde{\eta}|/C) \right)' \left(\log(1-a) \right)'$$

165 where \sum represents the sum over all the simulations. The three terms on the right
 166 represent contributions of $\log(|\tilde{\eta}|/C)$, $\log(1-a)$ and their covariance.

167 These contributions, quantified as a percentage of the total variance (the term on the
 168 left side of Eq. (6)), are shown in Fig. 2B. We find the contribution of the covariance
 169 term to the intermodel variance of Southern Ocean decadal variability is negligible (white
 170 bar). The contribution of $\log(1 - a)$ (black bar) is about twice as large as the contribution
 171 of $\log(|\tilde{\eta}|/C)$ (gray bar). This is consistent with the fact that the intermodel spread of a
 172 is much larger than that of $|\tilde{\eta}|/C$, as demonstrated in Section 4.

173 It is not straightforward to interpret this result physically, because C , the effective heat
 174 capacity, appears in both terms. If we rewrite $\log(1 - a)$ and $\log(|\tilde{\eta}|/C)$ as

$$175 \log(1 - a) = \log\lambda - \log C + \log\Delta t \quad (7)$$

$$176 \log(|\tilde{\eta}|/C) = \log|\tilde{\eta}| - \log C \quad (8)$$

177 Eq. (5) then becomes

$$178 \log\left(\frac{|\tilde{\eta}|/C}{1 - a}\right) = \log|\tilde{\eta}| - \log\lambda - \log\Delta t \quad (9)$$

179 Though $\log C$ appears in Eqs. (7) and (8), it does not appear in Eq. (9). This suggests
 180 that decadal variability is determined by $|\tilde{\eta}|$ and λ only ($\log\Delta t$ is of course the same
 181 for all models). The fact that C does not affect decadal variability can be also seen by
 182 plugging $a = 1 - \Delta t \cdot (\lambda/C)$ into Eq. (4). The physical interpretation for this is that
 183 the temperature anomalies are roughly in thermodynamic equilibrium with the forcing on
 184 decadal time scale. This is equivalent to the statement that the characteristic variability
 185 time scales of the models, C/λ are somewhat shorter than the decadal time scale, and that
 186 the first term on the left side of Eq. (1) for decadal temperature anomalies is negligible
 187 compared to the first term on the right side.

188 Since C does not affect decadal variability, variations in C do not contribute to inter-
 189 model spread of Southern Ocean decadal variability either. To the extent that variations
 190 in $\log C$ contribute to spread in $\log(1 - a)$ and $|\tilde{\eta}|/C$, this should be reflected in the co-
 191 variance term of Eq. (6). However, Fig. 2B shows this term is very small. There is a
 192 chance this could occur as a result of highly correlated intermodel variations of $\log \lambda$ and
 193 $\log|\tilde{\eta}|$ being almost perfectly anti-correlated with variations in $\log C$. However, such a
 194 highly fortuitous cancellation effect seems unlikely. A much simpler and more plausible
 195 explanation is that spread in $\log(1 - a)$ in Fig. 2B arises from spread in $\log \lambda$, while spread
 196 in $|\tilde{\eta}|/C$ stems from spread in $\log|\tilde{\eta}|$, and the covariance term is a reflection of the element
 197 $\log(1 - a)$ and $|\tilde{\eta}|/C$ have in common, namely $\log C$. For this reason, we judge that the
 198 first two columns of Fig. 2B represent contributions of variations in damping processes
 199 and AWFN to Southern Ocean decadal variability, and that damping processes dominate
 200 AWFN by roughly a factor of two.

201 We can also prove mathematically that $\log \lambda$ makes a larger contribution to the spread in
 202 Southern Ocean decadal variability than $\log|\tilde{\eta}|$. First, we obtain three expressions govern-
 203 ing the intermodel variance of $\log(1 - a)$, $\log(|\tilde{\eta}|/C)$ and $\log(|\tilde{\eta}|/(C(1 - a)))$ respectively,
 204 based on Eqs. (7)-(9). We then manipulate these expressions to obtain

$$\sum[(\log \lambda)']^2 - \sum[(\log|\tilde{\eta}|)']^2 = \sum[(\log(1 - a))']^2 - \sum[(\log|\tilde{\eta}|/C)']^2 - 2 \sum(\log(\frac{|\tilde{\eta}|/C}{1 - a}))'(\log C)'$$
(10)

205
 206 The last term on the right side of Eq. (10) represents the covariance of $\log(|\tilde{\eta}|/(C(1 - a)))$
 207 and $\log C$. According to Eq. (9), $\log C$ does not contribute to the intermodel spread of
 208 $\log(|\tilde{\eta}|/(C(1 - a)))$, so this term vanishes. Since the first term on the right side is 2 times

larger than the second term, the right-hand side is positive. Therefore, $\sum[(\log\lambda)']^2 >$
 $\sum[(\log|\tilde{\eta}|)']^2$, indicating $\log\lambda$ rather than $\log|\tilde{\eta}|$ is the dominant cause of the intermodel
spread of Southern Ocean decadal variability.

The effectiveness of damping processes, represented by λ , is largely determined by the
strength of climate feedbacks involving surface albedo, cloud and water vapor. Thus
intermodel differences in λ may stem largely from differing simulations of these feedbacks
in the models. In contrast, atmospheric synoptic variability, whose impacts over the
Southern Ocean are represented by $|\tilde{\eta}|$, may be more consistently simulated. These results
suggest that efforts to improve climate simulations of Southern Ocean decadal variability
ought to focus on processes affecting damping of temperature anomalies, including climate
feedbacks. We note that these same feedbacks probably also shape the region's response
to anthropogenic forcing. These efforts are necessarily long-term, involving more than
one model development cycle. In the meantime, we may be able to constrain the lag-one
autocorrelation a , a parameter probably mostly determined by λ . Values of a may be
evaluated in paleoclimate records at coastal Antarctic locations whose decadal variability
is linked to that of the surrounding ocean. This exercise may provide a much-needed
observational constraint on the Southern Ocean's internal climate variability. We will
describe this study in a forthcoming paper.

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271 **Figure Captions**

272 Figure 1: Zonal-mean standard deviation (SD) of (A) annual-mean surface air temperature
273 (SAT), (B) annual-mean sea-ice cover (SIC), (C) decadal SAT and (D) decadal SIC in the SH
274 extratropics. An order 2 lowpass Chebyshev filter is used to obtain decadal SAT and SIC time
275 series [Williams and Taylor, 1988]. SD of the quantities is calculated at each grid point and then
276 averaged zonally to get the zonal-mean. Gray lines in each panel represent individual simulations
277 and thick black lines the ensemble-means.

278 Figure 2: A. Scatterplot of regional-mean SD of decadal SAT over the Southern Ocean against
279 $|\tilde{\eta}|/(C(1-a))$. B. Percentages of intermodel variance of $\log(|\tilde{\eta}|/(C(1-a)))$ of SAT that can
280 be attributed to intermodel variations of $\log(1-a)$ (black bar), $\log(|\tilde{\eta}|/C)$ (gray bar) and their
281 covariance (white bar). See the text for details on these calculations.

Table 1. 15 AR4 climate models used in this study and associated regional-mean values of various quantities over the Southern Ocean: (3rd column) SD of decadal SAT, and (4th column) SD of decadal SIC. It is calculated at each grid point and then averaged over the Southern Ocean to get the regional-mean. (5th column) lag-one year autocorrelation coefficient, a of annual-mean SAT. It is calculated at each grid point and then averaged over the Southern Ocean to get the regional-mean. (6th column) $|\tilde{\eta}|/C$ of SAT. See text for details on these calculations. Oceanic regions south of 50°S are used in the regional-mean calculations for SAT, and sea-ice covered areas in the Southern Ocean are used in the regional-mean calculations for SIC. These areas are also used in the subsequent regional-mean calculations. Note that SIC of ECHAM5/MPI-OM and ECHO-G were not available when the analysis was performed, indicated by “NA”.

No.	model	SAT SD (K)	SIC SD (%)	a	$ \tilde{\eta} /C$ (Kyear ^{1/2})
1	CCSM3	0.31	2.39	0.13	0.80
2	CGCM3.1(T47)	0.40	2.19	0.25	1.00
3	CGCM3.1(T63)	0.43	2.19	0.13	1.18
4	CNRM-CM3	0.61	4.07	0.65	0.86
5	CSIRO Mk3.5	0.37	2.79	0.29	0.88
6	ECHAM5/MPI-OM	0.43	NA	0.32	0.94
7	ECHO-G	0.53	NA	0.47	0.95
8	GFDL CM2.0	0.51	3.00	0.43	0.96
9	GFDL CM2.1	0.36	2.46	0.33	0.78
10	GISS-ER	0.25	2.26	0.30	0.62
11	IPSL CM4	0.37	3.09	0.30	0.80
12	MIROC3.2(medres)	0.35	2.69	0.44	0.64
13	MRI CGCM2.3.2	0.35	2.95	0.30	0.76
14	PCM	0.45	3.27	0.37	0.90
15	UKMO HadCM3	0.35	2.24	0.20	0.88



